



PTO/SB/21 (02-04)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10734,404
Filing Date	December 12, 2003
First Named Inventor	Esch
Art Unit	2873
Examiner Name	Unassigned
Attorney Docket Number	PV-1030 (31147-1030)

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

- |  |  |   |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Postcard<br>Copy of publication # WO01/87742 A2 |
|--|--|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Nicola A. Pisano Luce, Forward, Hamilton & Scripps LLP
Signature	<i>Nicola A. Pisano</i>
Date	June 23, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Leigh A. Coleman
Signature	<i>LAC</i>
Date	6-23-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty. Docket No.: PV-1030 (31147-1030)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit:	2873
Victor Esch	)		
	)		
Serial No.: 10/734,404	)		
	)		
Filed: December 12, 2003	)	Confirmation No.: 1175	
	)		
For: LENS SYSTEM AND METHOD	)		
FOR POWER ADJUSTMENT	)		
USING EXTERNALLY	)		
ACTUATED MICROPUMPS	)		

Mail Stop: AMENDMENT  
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**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)**

Dear Sir or Madam:

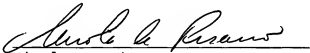
In accordance with 37 C.F.R. § 1.56, applicant makes the references cited on the accompanying form PTO/SB/08a of record in the above-identified application. In accordance with 37 C.F.R. § 1.97 (b), this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08a, as considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

As this submission is filed before the mailing of the first Office Action, Applicant believes there are no fees due at this time. In the event that a fee is required, please charge our Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

June 23, 2004  
Date

  
Nicola A. Pisano  
Attorney for Applicant(s)  
Reg. No. 34,408  
c/o LUCE, FORWARD, HAMILTON  
& SCRIPPS  
11988 El Camino Real,  
Suite 200  
San Diego, CA 92130  
Tel No.: (858) 720-6320



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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**Complete if Known**

Application Number	10/734,404
Filing Date	12/12/2003
First Named Inventor	Esch
Art Unit	2873
Examiner Name	Unassigned
Attorney Docket Number	PV-1030 (3114-1030)

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
TS.G./		WO 01/97742 A2	12-27-2001	Proteus Vision, LLC	

Examiner Signature	/Suzette Gherbi/	Date Considered	06/02/2008
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EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Indicate type of this form used: communication to applicant (Applicant's unique citation designation number (optional)). <sup>1</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>2</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the XXXXXX of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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